

**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	1-21-18
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	National Council of <del>State</del> State Housing Agencies / Heidrick & Struggles

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	1-22-18
	<b>Date Received</b>

**PRIVACY ACT STATEMENT**

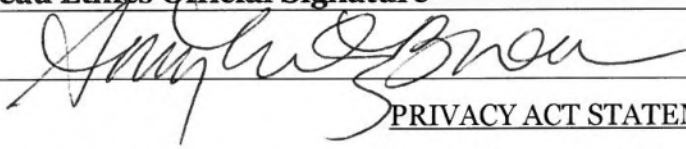
Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	2/6/2018
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	DLT, LLC

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	2/16
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	2/16/18

**PRIVACY ACT STATEMENT**


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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	2/15/2018
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Scotia Bank USA

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b> (b)(6)	<b>Date Submitted</b> 2/16
<b>Bureau Ethics Official Signature</b> 	<b>Date Received</b> 2/16/2018

**PRIVACY ACT STATEMENT**

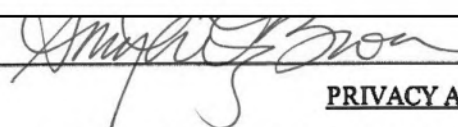
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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	2/21/2018 (resume submitted)
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	JPMorgan Chase Institute

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	2/22/2018
	<b>Date Received</b>
	2/22/2018

**PRIVACY ACT STATEMENT**

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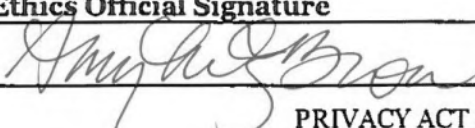


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OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	February 26, 2018
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	UC Irvine School of Law

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

(b)(6)	<b>Date Submitted</b> 2/27/2018
<b>Bureau Ethics Official Signature</b> 	<b>Date Received</b> 2/27/2018

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

**NOTIFICATION OF POST-GOVERNMENT EMPLOYMENT  
OR COMPENSATION NEGOTIATION OR AGREEMENT  
AND RECUSAL STATEMENT**

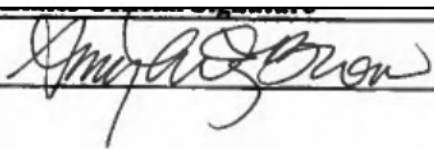
Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires certain employees to file a statement notifying their agency ethics official of any negotiation for, or agreement of, future employment or compensation with a non-federal entity within three business days after commencement of the negotiation or agreement. An employee who files a notification statement also must file with the agency's ethics official a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the employee obtains a written waiver as discussed in 5 C.F.R. § 2635.402(d), obtains an authorization as discussed in 5 C.F.R. § 2635.502(d), or qualifies for a regulatory exemption pursuant to 18 U.S.C. § 208(b)(2).

**NOTIFICATION OF POST-GOVERNMENT EMPLOYMENT  
OR COMPENSATION NEGOTIATION OR AGREEMENT**

<b>Name of Employee</b>	(b)(6)
<b>Agency/Office</b>	
<b>Date Negotiation or Agreement Commenced</b>	April 20, 2018
<b>Name(s) of Non-Federal Entity or Entities</b>  Disclose each non-federal entity with which you are negotiating for, or have an agreement of, future employment or compensation.	Freddie MAC

**RECUSAL STATEMENT**

For as long as I am negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult an agency ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b> (b)(6)	<b>Date Submitted</b> April 20, 2018
	<b>Date Received</b> 4/23/18

(\*) received via email 4/20/18 (attached).

**Brown, Amy (CFPB)**

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**From:** (b)(6)  
**Sent:** Friday, April 20, 2018 3:53 PM  
**To:** Brown, Amy (CFPB)  
**Subject:** Recusal Form  
**Attachments:** Scanned from a Xerox Multifunction Printer.pdf

Hey Amy,

I believe these go to you? I'll keep you posted.

Have a good weekend!

(b)(6)

consumerfinance.gov

**Confidentiality Notice:** The information contained in this transmittal, including attachments if any, may be confidential or privileged under applicable law, or otherwise may be protected from disclosure to anyone other than the intended recipient(s). Any review, use, distribution, or copying of the contents of this e-mail or its attachments by any person other than the intended recipient for any purpose other than its intended use, is strictly prohibited and may be unlawful. This communication is not intended as a waiver of the confidential, privileged or exempted status of the information transmitted. If you have received this e-mail in error, you should permanently delete the e-mail and any attachments. Do not save, copy, disclose, or rely on any part of the information contained in this e-mail or its attachments. Also immediately notify the sender of the misdirection of this transmittal. Your cooperation is appreciated.

## Brown, Amy (CFPB)

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**From:** (b)(6)  
**Sent:** Thursday, May 24, 2018 10:39 AM  
**To:** Brown, Amy (CFPB)  
**Subject:** RE: Recusal Form

Hi Amy!

I am no longer a candidate for the position at Freddie Mac so my conflict has cleared.

Thanks for all your help.

Have a great weekend!

(b)(6)

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**From:** Brown, Amy (CFPB)  
**Sent:** Friday, April 20, 2018 5:11 PM  
**To:** (b)(6)  
**Subject:** Re: Recusal Form

Yes thank you! I will sign and file it.

You have a great weekend too!

Best,

Amy

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**From:** (b)(6)  
**Date:** April 20, 2018 at 3:53:00 PM EDT  
**To:** Brown, Amy (CFPB) <[Amy.Brown@cfpb.gov](mailto:Amy.Brown@cfpb.gov)>  
**Subject:** Recusal Form

Hey Amy,

I believe these go to you? I'll keep you posted.

Have a good weekend!

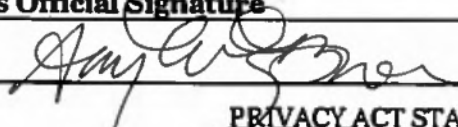
(b)(6)

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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	5/8/18
<b>Name of Non-Federal Entity</b> Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Sundler Foundation

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

(b)(6)	<b>Date Submitted</b>
	5/7/18
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	5/7/2018

**PRIVACY ACT STATEMENT**

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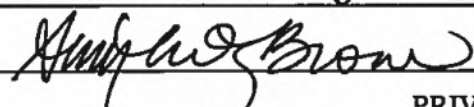


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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	The discussion started and ended March 20. Before that I exchanged a few emails with a recruiter to set up the call.
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	The recruiter was from PWC

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	5/16/18 (*)

**PRIVACY ACT STATEMENT**

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CFPB Ethics Office – STOCK ACT Notification (01-06-2017)

(\*) Employee submitted with attached email explaining the timing of the submission.

**Brown, Amy (CFPB)**

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**From:** (b)(6)  
**Sent:** Wednesday, May 16, 2018 8:36 AM  
**To:** CFPB\_EthicsHelp  
**Subject:** Notification to Ethics\_convo with PWC March 2018.pdf  
**Attachments:** Notification to Ethics\_convo with PWC March 2018.pdf  
  
**Categories:** (b)(6)

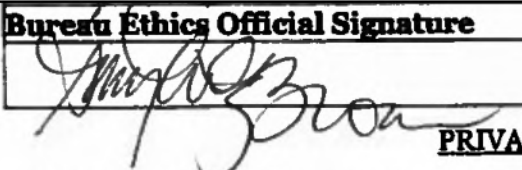
Hello I am submitting this form out of an excess of caution. I don't believe my conversation with the entity named here constituted a negotiation. The recruiter described the job she was selling, and I asked questions about it. I have had no communication with PwC since except to notify the recruiter I did not apply for the job and didn't plan to.

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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	Date discussion commenced: May 10, 2018. Date I rejected possibility of future employment: May 14, 2018.
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	The ClearingHouse Association LLC

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	May 14, 2018
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	5/14/18

**PRIVACY ACT STATEMENT**

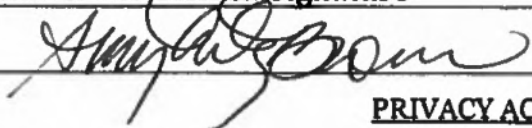
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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	May 3, 2018
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Loyola University of Chicago School of Law John Marshall Law School Illinois Dept of Veterans Affairs

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<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	May 3, 2018
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	5/4/2018

**PRIVACY ACT STATEMENT**

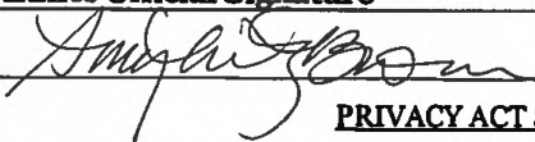
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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	June 6, 2018
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	1. Legal Services Corporation 2. Legal counsel for the Elderly

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b> (b)(6)	<b>Date Submitted</b> 6/7/18
<b>Bureau Ethics Official Signature</b> 	<b>Date Received</b> 6/7/18

**PRIVACY ACT STATEMENT**

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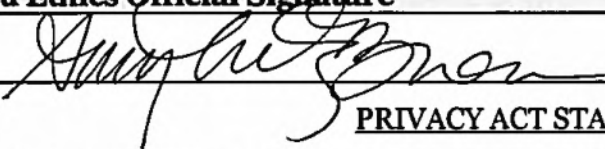


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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	June 18, 2018
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Citibank

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	June 18, 2018
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	6/19/18

**PRIVACY ACT STATEMENT**

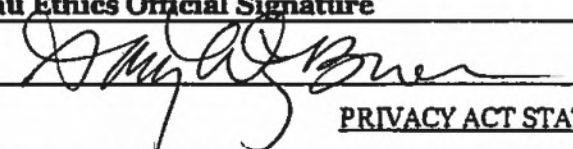
Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

**STOCK ACT NOTIFICATION  
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	6/21
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Berkeley School at LBN

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

(b)(6)	<b>Date Submitted</b> 6/25/18
<b>Bureau Ethics Official Signature</b> 	<b>Date Received</b> 6/26/2018

**PRIVACY ACT STATEMENT**

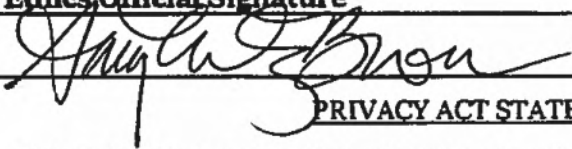
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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	6/21/18
<b>Name of Non-Federal Entity</b> Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Laura & John Arnold Foundation

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

(b)(6)	<b>Date Submitted</b> 6/26/18
<b>Bureau Ethics Official Signature</b> 	<b>Date Received</b> 6/26/2018

**PRIVACY ACT STATEMENT**

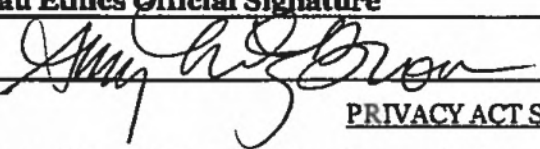
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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	7/2/18
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Wyss Foundation

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

(b)(6)	<b>Date Submitted</b>
	6/25/18
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	6/26/18

**PRIVACY ACT STATEMENT**

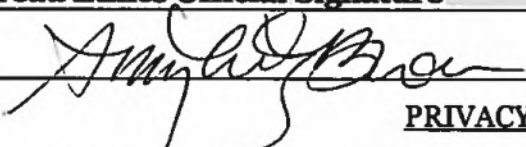
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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	July 6
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Gartner

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	July 11, 2018
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	7/11/2018

**PRIVACY ACT STATEMENT**

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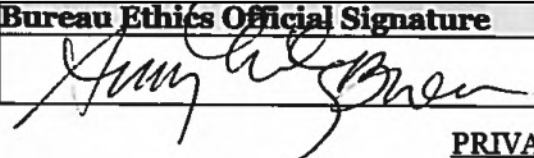


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**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	July 13
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	International Flavors and Fragrances

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	July 11, 2018
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	7/11/2018

**PRIVACY ACT STATEMENT**

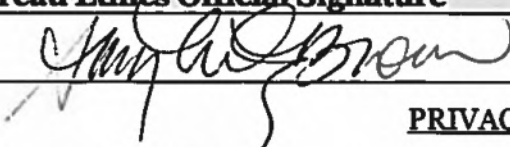
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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	July 13
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	London Stock Exchange

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b> (b)(6)	<b>Date Submitted</b> July 11, 2018
<b>Bureau Ethics Official Signature</b> 	<b>Date Received</b> 7/11/2018

**PRIVACY ACT STATEMENT**

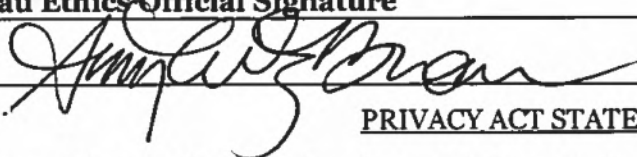
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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	7/25/18
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Steptoe & Johnson

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b> (b)(6)	<b>Date Submitted</b> 7/25/18
<b>Bureau Ethics Official Signature</b> 	<b>Date Received</b> 7/26/18

**PRIVACY ACT STATEMENT**

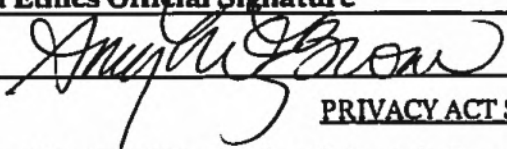
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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	7/31/2018
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	TD BANK

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b> (b)(6)	<b>Date Submitted</b> 8/1/18
<b>Bureau Ethics Official Signature</b> 	<b>Date Received</b> 8/1/2018

**PRIVACY ACT STATEMENT**


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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	8/13/18
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Resources Legacy Fund

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

(b)(6)	<b>Date Submitted</b> 8/11/18
<b>Bureau Ethics Official Signature</b> 	<b>Date Received</b> 8/2/18

**PRIVACY ACT STATEMENT**

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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	Date discussion commenced: August 22, 2018.
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Covington & Burling

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	August 24, 2018
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>

**PRIVACY ACT STATEMENT**

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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	None negotiation, application
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Crowell & Moring

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	9/17/18
	<b>Date Received</b>

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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	NO negotiation, application 9/5
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Legal Counsel for the Elderly (affiliated w/ AARP)

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	9/17/18
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>

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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	October 3, 2018
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Capital One Financial Corporation

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	October 4, 2018
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>

**PRIVACY ACT STATEMENT**

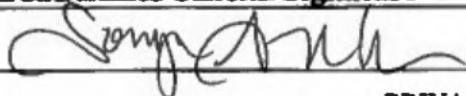
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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	10/12/18
<b>Name of Non-Federal Entity</b> Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Barbour, Griffith + Rogers

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	10/16/18
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	10-16-18

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	10/26/2018
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	STRUCTURED FINANCE INDUSTRY GROUP

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	10/29/2018
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	November 2, 2018
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Center for Reproductive Rights

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	10/17/18
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Amazon

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	11/2/18
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
Julius B Vail	11/5/2018

**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	1-21-18
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	National Council of <del>State</del> State Housing Agencies / Heidrick & Struggles

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

(b)(6)		<b>Date Submitted</b>
		1-22-18
<b>Bureau Ethics Official Signature</b>		<b>Date Received</b>
Amy Mertz Brown <small>Digitally signed by Amy Mertz Brown Date: 2018.01.22 12:21:39 -05'00'</small>		

**PRIVACY ACT STATEMENT**

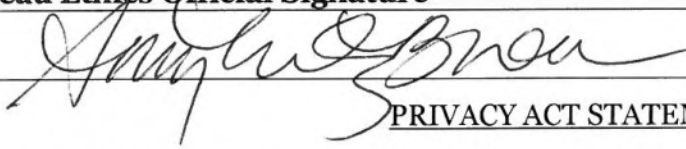
Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

**STOCK ACT NOTIFICATION  
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	2/6/2018
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	DLT, LLC

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	2/16
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	2/16/18

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.




**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	2/15/2018
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Scotia Bank USA

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	2/16
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	2/16/2018

**PRIVACY ACT STATEMENT**

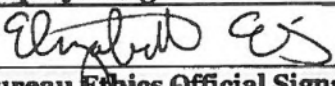
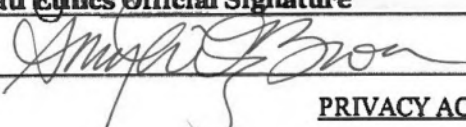
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**STOCK ACT NOTIFICATION  
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	Elizabeth Ellis
<b>CFPB Office/Division</b>	External Affairs
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	2/21/2018 (resume submitted)
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	JPMorgan Chase Institute

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
	2/22/2018
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	2/22/2018

**PRIVACY ACT STATEMENT**

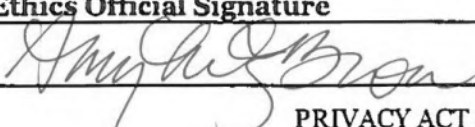
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**STOCK ACT NOTIFICATION  
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	February 26, 2018
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	UC Irvine School of Law

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

(b)(6)	<b>Date Submitted</b> 2/27/2018
<b>Bureau Ethics Official Signature</b> 	<b>Date Received</b> 2/27/2018

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

**NOTIFICATION OF POST-GOVERNMENT EMPLOYMENT  
OR COMPENSATION NEGOTIATION OR AGREEMENT  
AND RECUSAL STATEMENT**

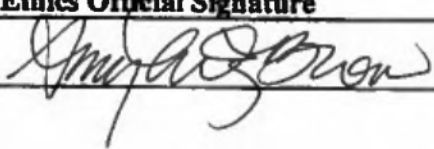

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires certain employees to file a statement notifying their agency ethics official of any negotiation for, or agreement of, future employment or compensation with a non-federal entity within three business days after commencement of the negotiation or agreement. An employee who files a notification statement also must file with the agency's ethics official a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the employee obtains a written waiver as discussed in 5 C.F.R. § 2635.402(d), obtains an authorization as discussed in 5 C.F.R. § 2635.502(d), or qualifies for a regulatory exemption pursuant to 18 U.S.C. § 208(b)(2).

**NOTIFICATION OF POST-GOVERNMENT EMPLOYMENT  
OR COMPENSATION NEGOTIATION OR AGREEMENT**

<b>Name of Employee</b>	(b)(6)
<b>Agency/Office</b>	
<b>Date Negotiation or Agreement Commenced</b>	April 20, 2018
<b>Name(s) of Non-Federal Entity or Entities</b>  Disclose each non-federal entity with which you are negotiating for, or have an agreement of, future employment or compensation.	Freddie MAC

**RECUSAL STATEMENT**

For as long as I am negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult an agency ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b> (b)(6)	<b>Date Submitted</b> April 20, 2018
<b>Agency Ethics Official Signature</b> 	<b>Date Received</b> 4/23/18 

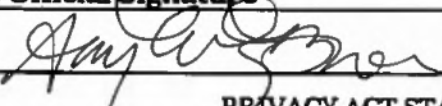
*\* received via email 4/20/18 (attached).*

**STOCK ACT NOTIFICATION  
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	5/8/18
<b>Name of Non-Federal Entity</b> Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Sundler Foundation

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

(b)(6)	<b>Date Submitted</b>
	5/7/18
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	5/7/2018

**PRIVACY ACT STATEMENT**

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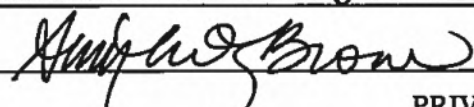


**STOCK ACT NOTIFICATION  
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	The discussion started and ended March 20. Before that I exchanged a few emails with a recruiter to set up the call.
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	The recruiter was from PWC

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	5/16/18 (*)

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

CFPB Ethics Office – STOCK ACT Notification (01-06-2017)

(\*) Employee submitted with attached email explaining the timing of the submission.

**Brown, Amy (CFPB)**

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**From:** (b)(6)  
**Sent:** Wednesday, May 16, 2018 8:36 AM  
**To:** CFPB\_EthicsHelp  
**Subject:** Notification to Ethics\_convo with PWC March 2018.pdf  
**Attachments:** Notification to Ethics\_convo with PWC March 2018.pdf  
  
**Categories:** Jeannine

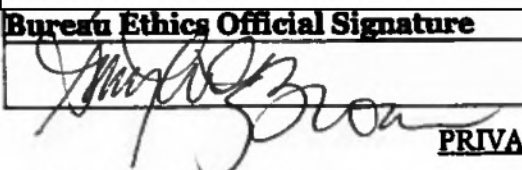
Hello I am submitting this form out of an excess of caution. I don't believe my conversation with the entity named here constituted a negotiation. The recruiter described the job she was selling, and I asked questions about it. I have had no communication with PwC since except to notify the recruiter I did not apply for the job and didn't plan to.

**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	Date discussion commenced: May 10, 2018. Date I rejected possibility of future employment: May 14, 2018.
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	The ClearingHouse Association LLC

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	May 14, 2018
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	5/14/18

**PRIVACY ACT STATEMENT**

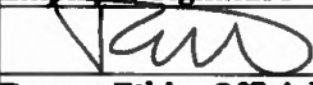
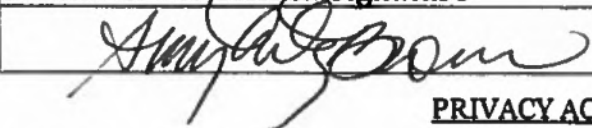
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**STOCK ACT NOTIFICATION  
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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<b>Employee Name</b>	Paul Kantwill
<b>CFPB Office/Division</b>	OSA, CEE
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	May 3, 2018
<b>Name of Non-Federal Entity</b>	Loyola University of Chicago School of Law
<b>Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.</b>	(b)(6)

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
	May 3, 2018
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	5/4/2018

**PRIVACY ACT STATEMENT**

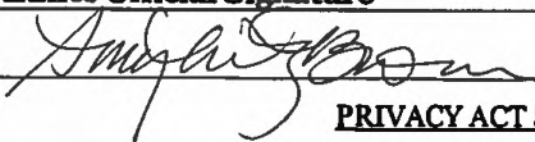
Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

**STOCK ACT NOTIFICATION  
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	June 6, 2018
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	1. Legal Services Corporation 2. Legal counsel for the Elderly

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b> (b)(6)	<b>Date Submitted</b> 6/7/18
<b>Bureau Ethics Official Signature</b> 	<b>Date Received</b> 6/7/18

**PRIVACY ACT STATEMENT**

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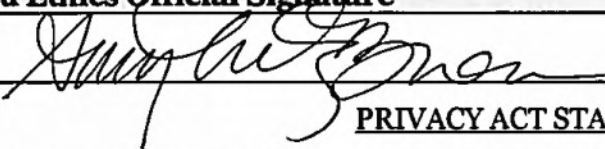


**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	Linda Powell
<b>CFPB Office/Division</b>	Operations/T&I
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	June 18, 2018
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Citibank

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
Linda F. Powell	June 18, 2018
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	6/19/18

**PRIVACY ACT STATEMENT**

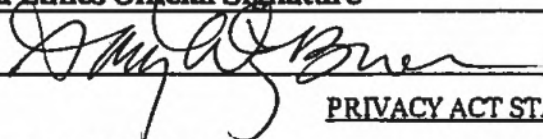
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**STOCK ACT NOTIFICATION  
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	6/21
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Berkeley School at LKW

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

(b)(6)	<b>Date Submitted</b>
	6/25/18
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	6/26/2018

**PRIVACY ACT STATEMENT**

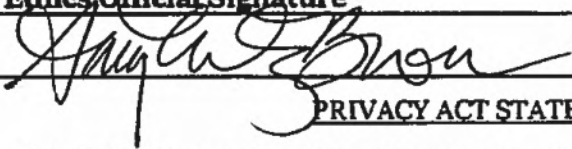
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**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	6/21/18
<b>Name of Non-Federal Entity</b> Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Laura & John Arnold Foundation

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

(b)(6)	<b>Date Submitted</b>
	6/26/18
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	6/26/2018

**PRIVACY ACT STATEMENT**

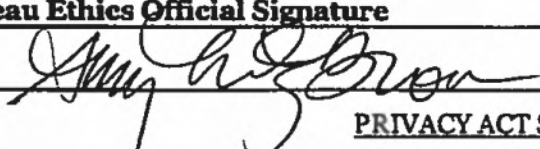
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**STOCK ACT NOTIFICATION  
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	7/2/18
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Wyss Foundation

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

(b)(6)	<b>Date Submitted</b> 6/25/18
<b>Bureau Ethics Official Signature</b> 	<b>Date Received</b> 6/26/18

**PRIVACY ACT STATEMENT**

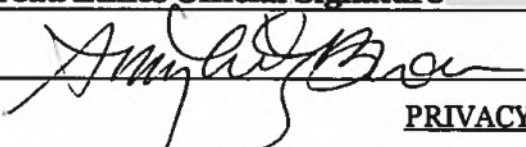
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**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	July 6
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Gartner

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	July 11, 2018
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	7/11/2018

**PRIVACY ACT STATEMENT**

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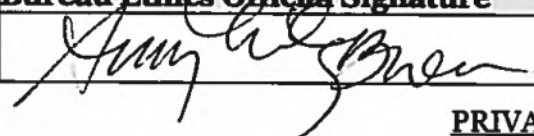


**STOCK ACT NOTIFICATION**  
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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	July 13
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	International Flavors and Fragrances

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b> (b)(6)	<b>Date Submitted</b> July 11, 2018
<b>Bureau Ethics Official Signature</b> 	<b>Date Received</b> 7/11/2018

**PRIVACY ACT STATEMENT**


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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	July 13
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	London Stock Exchange

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	July 11, 2018
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	7/11/2018

**PRIVACY ACT STATEMENT**

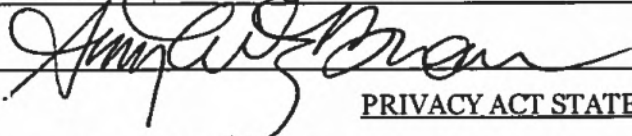
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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	7/25/18
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Steptoe & Johnson

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	7/25/18
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	7/26/18

**PRIVACY ACT STATEMENT**

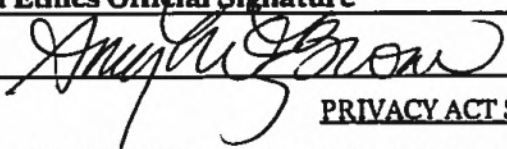
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OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	7/31/2018
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	TD BANK

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b> (b)(6)	<b>Date Submitted</b> 8/1/18
<b>Bureau Ethics Official Signature</b> 	<b>Date Received</b> 8/1/2018

**PRIVACY ACT STATEMENT**

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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	8/31/18
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Resources Legacy Fund

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	8/1/18
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	8/2/18

**PRIVACY ACT STATEMENT**

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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	Date discussion commenced: August 22, 2018.
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Covington & Burling

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	August 24, 2018
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	None negotiation, application
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, or have an agreement of future employment or compensation.	Crowell & Moring

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	9/17/18
<b>Supervisor Signature</b>	<b>Date Received</b>

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	None negotiation, application 9/5
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Legal Counsel for the Elderly (affiliated w/ AARP)

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	9/17/18
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	October 3, 2018
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Capital One Financial Corporation

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	October 4, 2018
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>

**PRIVACY ACT STATEMENT**

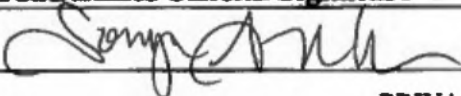
Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	10/12/18
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Barbour, Griffith + Rogers

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	10/16/18
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	10-16-18

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	10/26/2018
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	STRUCTURED FINANCE INDUSTRY GROUP

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	10/29/2018
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	Janneke Ratcliffe
<b>BCFP Office/Division</b>	CEE, Office of Financial Education
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	Pending discussions regarding possible position
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Urban Institute

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
Janneke Ratcliffe Digitally signed by Janneke Ratcliffe 'Date: 2020.04.07 17:59:38 -04'00'	
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
Digitally signed by AMBER VAIL 'Date: 2020.04.08 09:21:22 -04'00' AMBER VAIL	



### PRIVACY ACT STATEMENT

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the BCFP becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	Interview 4/15/20
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	DHIC

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>		<b>Date Submitted</b>
(b)(6)	Digitally signed by (b)(6) Date: 2020.04.07 18:02:27 -04'00'	
<b>Bureau Ethics Official Signature</b>		<b>Date Received</b>
Digitally signed by AMBER VAIL 'Date: 2020.04.08 09:23:09 -04'00'		AMBER VAIL



### PRIVACY ACT STATEMENT

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the BCFP becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



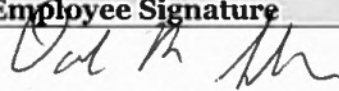


**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	David Silberman
<b>BCFP Office/Division</b>	RMR
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	12/18/19
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Financial Health Network

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
	1/2/20
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
AMBER VAIL Digitally signed by AMBER VAIL Date: 2020.01.02 14:40:44 -05'00'	January 2, 2020



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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Paul Watkins  
Office of  
Innovations

<b>Employee Name</b>	(b)(6)	
<b>BCFP Office/Division</b>	(b)(6)	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	(b)(6)	Confirmed with Watkins that he meant 2020, not 2019. ABV
<b>Name of Non-Federal Entity</b>	(b)(6)	
<b>Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.</b>	1-3-19 Patomack Global Partners	

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

1-8-19

<b>Executive Signature</b>	(b)(6)	<b>Date Submitted</b>	(b)(6)
<b>Bureau Ethics Official Signature</b>	Paul Watkins	<b>Date Received</b>	1/08/2020
AMBER VAIL		Digitally signed by AMBER VAIL Date: 2020.01.09 11:44:18 -05'00'	

\* Confirmed with Watkins he meant 2020, not 2019. ABV



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	1/10/20
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Cozen O'Connor

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

(b)(6)	<b>Date Submitted</b>
	1/13/20
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
AMBER VAIL Digitally signed by AMBER VAIL Date: 2020.01.14 17:01:36 -05'00'	1/13/2020



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	1/12/20
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Reed Smith

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

(b)(6)	<b>Date Submitted</b> 1/13/20
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b> 1/13/2020



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	1/12/20
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Reed Smith

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

(b)(6)	<b>Date Submitted</b> 1/13/20
<b>Bureau Ethics Official Signature</b> AMBER VAIL	<b>Date Received</b> 1/13/2020

Digitally signed by AMBER VAIL  
Date: 2020.01.14 17:02:26 -05'00'





**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	1-19-20
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Walmart

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

*Email sent on 1.21.2020*

(b)(6)	<b>Date Submitted</b>
	2/16/2020
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
AMBER VAIL Digitally signed by AMBER VAIL Date: 2020.02.06 13:12:01 -05'00'	Original email notification received on 1/21/2020

(b)(6)

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**From:** (b)(6)  
**Sent:** Tuesday, January 21, 2020 9:52 AM  
**To:** Vail, Amber (CFPB)  
**Subject:** Stock Act

Hi Amber,

I submitted an application to Walmart on January 19<sup>th</sup>. I am traveling this week so I will submit the signed stock act form when I return.

(b)(6)

January 19<sup>th</sup>, 2020  
January 21<sup>th</sup>, 2020

/s/ (b)(6)

Thank you,

(b)(6)



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	2/9/2020
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Officer Pad

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b> (b)(6)	<b>Date Submitted</b> 2/16/2020
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>



### **PRIVACY ACT STATEMENT**

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**STOCK ACT NOTIFICATION  
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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Employee Name	(b)(6)
BCFP Office/Division	
Date Discussion, Negotiation, or Agreement Commenced	2/11/20
Name of Non-Federal Entity	Berkeley Center for Economic Law + Economic Justice (volunteer) UC Berkeley Law School (not volunteer)
Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation	

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

Employee Signature	(b)(6)	Date Submitted
Bureau Ethics Official Signature		Date Received
Jonathan B. Paul		2/12/2020

BCFP Ethics Office - STOCK Act Notification (10-30-2018)



**From:** Vail, Amber (CFPB)  
**To:** (b)(6)  
**Subject:** RE: Stock Act  
**Date:** Friday, April 03, 2020 12:31:09 PM  
**Attachments:** OGE Stock Act Notification Form (b)(6).pdf

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Good afternoon (b)(6)

Thank you for your message below. I hope you are holding up during these very challenging times!

I have attached a copy of a Stock Act Notice. Can you electronically sign the form and send it back to me? If not, I will make a note on the form but I thought I could at least try.

I made a note that you are no longer seeking employment with Cozen O Connor, Reed Smith, Offerpad, and Walmart.

Please take care of yourself!

Best,  
Amber

---

**From:** (b)(6)  
**Sent:** Wednesday, April 01, 2020 1:08 PM  
**To:** Vail, Amber (CFPB) (b)(6)  
**Subject:** Stock Act

Hi Amber,

I hope you are safe and you and your family are well.

You can cancel the Stock Act notices for Cozen O Connor, Reed Smith, Offerpad, and Walmart.

I don't have a fillable .pdf for the stock act so I'm enclosing the following information in this email. Please let me know if you would like it in a different format.

New Stock Act Information

(b)(6)

3/31/2020

Even Financial

Thank you,

(b)(6)

## NOTIFICATION OF POST-GOVERNMENT EMPLOYMENT OR COMPENSATION NEGOTIATION OR AGREEMENT AND RECUSAL STATEMENT

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires certain employees to file a statement notifying their agency ethics official of any negotiation for, or agreement of, future employment or compensation with a non-federal entity within three business days after commencement of the negotiation or agreement. An employee who files a notification statement also must file with the agency's ethics official a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the employee obtains a written waiver as discussed in 5 C.F.R. § 2635.402(d), obtains an authorization as discussed in 5 C.F.R. § 2635.502(d), or qualifies for a regulatory exemption pursuant to 18 U.S.C. § 208(b)(2).

### NOTIFICATION OF POST-GOVERNMENT EMPLOYMENT OR COMPENSATION NEGOTIATION OR AGREEMENT

<b>Name of Employee</b>	(b)(6)
<b>Agency/Office</b>	
<b>Date Negotiation or Agreement Commenced</b>	3/31/2020
<b>Name(s) of Non-Federal Entity or Entities</b>  Disclose each non-federal entity with which you are negotiating for, or have an agreement of, future employment or compensation.	Even Financial

### RECUSAL STATEMENT

For as long as I am negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult an agency ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
<b>Agency Ethics Official Signature</b>	<b>Date Received</b>
	4/1/2020



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	May 27, 2020
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Anchorage

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
** Filer was unable to sign the form. (b)(6) sent an email instead with all necessary information. I advised this was permissible.	
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
Digitally signed by AMBER VAIL 'Date: 2020.06.02 09:32:44 -04'00	AMBER VAIL



### PRIVACY ACT STATEMENT

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the BCFP becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	June 5, 2020
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Arizona Attorney General's Office

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
/s/**	
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
Digitally signed by AMBER VAIL 'Date: 2020.06.11 12:17:12 -04'00' AMBER VAIL	

\*\* Employee is unable to sign pdf documents from (b)(6) telework location. However, (b)(6) cover email contains (b)(6) signature. We advised that this is permissible way to notify our office.





### PRIVACY ACT STATEMENT

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the BCFP becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	June 29, 2020
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Mercatus Center & Presidential Pools

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
** Employee was unable to sign this form. (b)(6) sent an email with (b)(6) signature.	7/3/2020
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
Digitally signed by AMBER VAIL 'Date: 2020.07.07 12:41:00 -04'00	AMBER VAIL



### PRIVACY ACT STATEMENT

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the BCFP becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	June 29, 2020
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Mercatus Center

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
** Employee was unable to sign this form. (b)(6) sent an email with (b)(6) signature.	7/3/2020
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>



### PRIVACY ACT STATEMENT

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the BCFP becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**From:** (b)(6)  
**To:** Vail, Amber (CFPB)  
**Subject:** 2018 10-30 STOCK Act Notification.pdf  
**Date:** Wednesday, July 22, 2020 3:32:01 PM  
**Attachments:** 2018 10-30 STOCK Act Notification.pdf

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Hi Amber,

I have withdrawn applications with Rakuten and Anchorage. I have attached an application for the Center for Growth and Opportunity.

Thank you!

(b)(6)  
Office: (b)(6) Mobile: (b)(6)

Consumer Financial Protection Bureau

[consumerfinance.gov](https://consumerfinance.gov)

Confidentiality Notice: If you received this email by mistake, you should notify the sender of the mistake and delete the e-mail and any attachments. An inadvertent disclosure is not intended to waive any privileges.

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**From:** (b)(6)  
**Sent:** Friday, July 3, 2020 1:19 AM  
**To:** Vail, Amber (CFPB) (b)(6)  
**Subject:** 2018 10-30 STOCK Act Notification.pdf

Please find attached two stock act notifications. Thank you.

<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	July 17th
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Center for Growth and Opportunity

/s/ (b)(6) July 22, 2020



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>



### PRIVACY ACT STATEMENT

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the BCFP becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	July 17, 2020
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Center for Growth and Opportunity

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
** Employee is unable to electronically sign this message but (b)(6) accompanying email is attached.	7/21/2020
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
Digitally signed by AMBER VAIL 'Date: 2020.07.23 15:57:40 -04'00	AMBER VAIL



### PRIVACY ACT STATEMENT

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the BCFP becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	7/7/2020
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Facebook

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	7/10/2020
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
<i>Sonya White</i>	7/10/2020

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	9/16/20
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Robinhood

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	9/16/20
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
<i>Sonya White</i>	9/16/20

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

# NOTIFICATION OF POST-GOVERNMENT EMPLOYMENT OR COMPENSATION NEGOTIATION OR AGREEMENT AND RECUSAL STATEMENT

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires certain employees to file a statement notifying their agency ethics official of any negotiation for, or agreement of, future employment or compensation with a non-federal entity within three business days after commencement of the negotiation or agreement. An employee who files a notification statement also must file with the agency's ethics official a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the employee obtains a written waiver as discussed in 5 C.F.R. § 2635.402(d), obtains an authorization as discussed in 5 C.F.R. § 2635.502(d), or qualifies for a regulatory exemption pursuant to 18 U.S.C. § 208(b)(2).

## NOTIFICATION OF POST-GOVERNMENT EMPLOYMENT OR COMPENSATION NEGOTIATION OR AGREEMENT

<b>Name of Employee</b>	Kirsten Sutton
<b>Agency/Office</b>	Consumer Financial Protection Bureau
<b>Date Negotiation or Agreement Commenced</b>	7/27/20
<b>Name(s) of Non-Federal Entity or Entities</b>  Disclose each non-federal entity with which you are negotiating for, or have an agreement of, future employment or compensation.	American Bankers Association

## RECUSAL STATEMENT

For as long as I am negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult an agency ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
<i>Kirsten Sutton</i>	7/29/20
<b>Agency Ethics Official Signature</b>	<b>Date Received</b>
<i>Sonya White</i>	07/29/20

**STOCK ACT NOTIFICATION  
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	Kelly Cochran
<b>CFPB Office/Division</b>	Office of Regulations, RMR Division
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	Date discussion commenced: December 7, 2018.
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Fin Reg Lab

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	December 10, 2018
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
AMBER VAIL Digitally signed by AMBER VAIL Date: 2018.12.11 10:48:43 -05'00'	

**PRIVACY ACT STATEMENT**

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**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	(b)(6)
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	12/18/18
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Conference of State Bank Supervisors

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	12/18/18
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
AMBER VAIL Digitally signed by AMBER VAIL Date: 2018.12.18 15:06:16 -05'00'	





### **PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the BCFP becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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<b>Employee Name</b>	Christopher D'Angelo
<b>BCFP Office/Division</b>	SEFL Front Office
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	<input checked="" type="radio"/> 10/22/2018 <input type="radio"/> 12/14/2018 <input checked="" type="radio"/> 12/10/2018
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	(b)(6)  © New York State Attorney General's Office

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	12/20/2018
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
AMBER VAIL Digitally signed by AMBER VAIL Date: 2018.12.20 13:53:37 -05'00'	



### PRIVACY ACT STATEMENT

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the BCFP becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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<b>Employee Name</b>	Katherine Gillespie
<b>CFPB Office/Division</b>	Consumer Education and Engagement
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	November 2, 2018
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Center for Reproductive Rights

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
Katherine Gillespie Digitally signed by Katherine Gillespie Date: 2018.11.02 17:55:15 -04'00'	
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
AMBER VAIL Digitally signed by AMBER VAIL Date: 2018.11.05 10:05:39 -05'00'	

**PRIVACY ACT STATEMENT**

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**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	<i>Ron Borzeikowski</i>
<b>BCFP Office/Division</b>	<i>OFFICE OF RESEARCH / RMR</i>
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	<i>10/17/18</i>
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	<i>AMAZON</i>

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b> (b)(6)	<b>Date Submitted</b> <i>11/2/18</i>
<b>Bureau Ethics Official Signature</b> <i>Julius B Vail</i>	<b>Date Received</b> <i>11/5/2018</i>





**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	November 25, 2019
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Consumer Bankers Association

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	11/26/19
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
AMBER VAIL Digitally signed by AMBER VAIL Date: 2019.11.27 14:28:05 -05'00'	

**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	Stacy Canan
<b>CFPB Office/Division</b>	Older Americans / CEE
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	Oct. 29, 2019
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Caregiver Action Network Washington, DC

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	10/30/2019
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
AMBER VAIL Digitally signed by AMBER VAIL Date: 2019.10.30 11:08:23 -04'00'	

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	05/16/19
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	AMERICAN BANKERS ASSOCIATION

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	05/16/19
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
AMBER VAIL Digitally signed by AMBER VAIL Date: 2019.05.16 17:40:33 -04'00'	

**STOCK ACT NOTIFICATION  
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	June 2, 2019
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Capitol Hill Village 785 8th St SE Washington DC 20003

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	June 3, 2019
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
AMBER VAIL Digitally signed by AMBER VAIL Date: 2019.06.03 16:26:17 -04'00'	

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	David Silberman
<b>BCFP Office/Division</b>	RMR
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	11/23/19
<b>Name of Non-Federal Entity</b> Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Financial Health Network

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	11/24/19
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
Stephen B. Vail	11/26/19





**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	David Silberman
<b>BCFP Office/Division</b>	RMR
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	11/22/19
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Center for Responsible Lending

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	11/24/19
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
Julian B. Vail	11/26/19



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires Bureau Executives and other OGE Form 278 Filers to submit a statement notifying the Bureau's Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-Federal entity no later than three business days after commencement of the negotiation or agreement. Executives and other OGE Form 278 Filers also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the employee obtains a written waiver. An Executive or OGE Form 278 Filer may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>Bureau Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	February 23, 2021
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Acorns Advisers, LLC

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	February 24, 2021
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
<b>AMBER VAIL</b> <small>Digitally signed by AMBER VAIL Date: 2021.02.24 14:41:39 -05'00'</small>	February 24, 2021



### PRIVACY ACT STATEMENT

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the Bureau becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	Matthew R. Bettenhausen
<b>CFPB Office/Division</b>	Director's Office
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	March 5, 2021
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	AEG (Anschutz Entertainment Group)

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	March 8, 2021
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
AMBER VAIL Digitally signed by AMBER VAIL Date: 2021.03.08 16:18:50 -05'00'	03/08/2021

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires Bureau Executives and other OGE Form 278 Filers to submit a statement notifying the Bureau's Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-Federal entity no later than three business days after commencement of the negotiation or agreement. Executives and other OGE Form 278 Filers also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the employee obtains a written waiver. An Executive or OGE Form 278 Filer may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>Bureau Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	April 22, 2021
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Coinbase

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	04/22/2021
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
<b>AMBER VAIL</b> <small>Digitally signed by AMBER VAIL Date: 2021.04.23 09:36:46 +04'00'</small>	



### PRIVACY ACT STATEMENT

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the Bureau becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.





**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires Bureau Executives and other OGE Form 278 Filers to submit a statement notifying the Bureau's Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-Federal entity no later than three business days after commencement of the negotiation or agreement. Executives and other OGE Form 278 Filers also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the employee obtains a written waiver. An Executive or OGE Form 278 Filer may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>Bureau Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	05/5/2021
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Amazon

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	05/06/2021
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
AMBER VAIL <small>Digitally signed by AMBER VAIL Date: 2021.05.10 17:33:59 -04'00'</small>	05/06/2021



### PRIVACY ACT STATEMENT

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**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires Bureau Executives and other OGE Form 278 Filers to submit a statement notifying the Bureau's Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-Federal entity no later than three business days after commencement of the negotiation or agreement. Executives and other OGE Form 278 Filers also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the employee obtains a written waiver. An Executive or OGE Form 278 Filer may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	Matthew Cameron
<b>Bureau Office/Division</b>	Office of Stakeholder Management, CEEA
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	05/3/2021
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Notarize

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	05/06/2021
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
AMBER VAIL <small>Digitally signed by AMBER VAIL Date: 2021.05.10 17:32:28 -04'00'</small>	05/06/2021



### PRIVACY ACT STATEMENT

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the Bureau becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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<b>Employee Name</b>	John Coleman
<b>CFPB Office/Division</b>	Litigation and Oversight/Legal Division
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	May 18, 2021
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Buckley LLP

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
/s John R. Coleman	May 18, 2021
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
<b>AMBER VAIL</b> <small>Digitally signed by AMBER VAIL Date: 2021.05.18 15:01:25 -04'00'</small>	May 18, 2021

**PRIVACY ACT STATEMENT**


Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	(b)(6)
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	November 19, 2021
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Fannie Mae

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	November 19, 2021
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
<b>AMBER VAIL</b>  Digitally signed by AMBER VAIL Date: 2021.11.19 13:05:13 -05'00'	11/19/2021

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives and CFPB Political Appointees to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives and Political Appointees also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive or Political Appointee obtains a written waiver. An Executive or Political Appointee may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	(b)(6)
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	December 9, 2021
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	JPMortgage Chase

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	December 9, 2021
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
AMBER VAIL <small>Digitally signed by AMBER VAIL Date: 2021.12.13 09:52:11 +05'00'</small>	12/10/2021

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires Bureau Executives and other OGE Form 278 Filers to submit a statement notifying the Bureau's Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-Federal entity no later than three business days after commencement of the negotiation or agreement. Executives and other OGE Form 278 Filers also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the employee obtains a written waiver. An Executive or OGE Form 278 Filer may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	<i>Andrew Duke</i>
<b>Bureau Office/Division</b>	<i>CEEA</i>
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	<i>1/4/2020</i>
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	<i>Obama Center, Atlanta</i>

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	<i>1/4/2020</i>
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
<b>AMBER VAIL</b> Digitally signed by AMBER VAIL Date: 2021.01.04 16:46:42 -05'00'	<i>1/4/2021</i>

\*\* Confirmed with filer that he meant year 2021 for the date in both places.



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires Bureau Executives and other OGE Form 278 Filers to submit a statement notifying the Bureau's Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-Federal entity no later than three business days after commencement of the negotiation or agreement. Executives and other OGE Form 278 Filers also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the employee obtains a written waiver. An Executive or OGE Form 278 Filer may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>Bureau Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	1/7/21
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	PayPal

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	1/7/21
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
<i>Sonya White</i>	1/7/21



### PRIVACY ACT STATEMENT

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the Bureau becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires Bureau Executives and other OGE Form 278 Filers to submit a statement notifying the Bureau's Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-Federal entity no later than three business days after commencement of the negotiation or agreement. Executives and other OGE Form 278 Filers also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the employee obtains a written waiver. An Executive or OGE Form 278 Filer may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>Bureau Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	1/8/2021
<b>Name of Non-Federal Entity</b> Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	PRA Group Inc.

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	1/11/2021
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
<b>AMBER VAIL</b> <small>Digitally signed by AMBER VAIL Date: 2021.01.11 10:35:25 -05'00'</small>	1/11/2021

**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	1/14/21
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Chamber of Commerce

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	1/14/21
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
<i>Sonya White</i>	1/14/21

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives and CFPB Political Appointees to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives and Political Appointees also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive or Political Appointee obtains a written waiver. An Executive or Political Appointee may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	(b)(6)
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	February 10, 2021
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Amazon Web Services Consumer Reports

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	02/14/2022
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
AMBER VAIL <small>Digitally signed by AMBER VAIL Date: 2022.02.15 09:44:15 -05'00'</small>	February 14, 2022

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives and CFPB Political Appointees to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives and Political Appointees also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive or Political Appointee obtains a written waiver. An Executive or Political Appointee may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	(b)(6)
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	January 4, 2022
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Gartner

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	1/14/22
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
<b>AMBER VAIL</b> Digitally signed by AMBER VAIL <small>Date: 2022.01.14 14:16:06 -05'00'</small>	January 14, 2022

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees whonegotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	8/8/19
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Banjo

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	(b)(6)	<b>Date Submitted</b>	8-12-19
<b>Bureau Ethics Official Signature</b>	AMBER VAIL	<b>Date Received</b>	

Digitally signed by AMBER VAIL  
Date: 2019.08.12 11:39:28 -04'00'



### PRIVACY ACT STATEMENT

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the BCFP becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	8/28
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Verdigns Holdings

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b> (b)(6)	<b>Date Submitted</b>
	8/30
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
AMBER VAIL Digitally signed by AMBER VAIL Date: 2019.09.12 14:36:49 -04'00'	August 30, 2019



### PRIVACY ACT STATEMENT

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required refusal. The primary use of the information on the form is to provide a record of an employee's refusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the BCFP becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.





**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	9/16/19
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Trinity Capital Investments

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Emp</b> (b)(6)	<b>Date Submitted</b>
	9/19/19
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
AMBER VAIL Digitally signed by AMBER VAIL Date: 2019.09.19 11:00:19 -04'00'	09/19/19



### PRIVACY ACT STATEMENT

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the BCFP becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	10/2/19
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Rex Home

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	(b)(6)	<b>Date Submitted</b>	10/2/19
<b>Bureau Ethics Official Signature</b>		<b>Date Received</b>	
AMBER VAIL Digitally signed by AMBER VAIL Date: 2019.10.02 14:38:28 -04'00'		10/02/2019	

**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	Brian Johnson
<b>CFPB Office/Division</b>	DFO
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	Thursday, October 3, 2014
<b>Name of Non-Federal Entity</b> Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Alston & Bird LLP

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
	10/7/19
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
	10.7.19

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	Monday, October 14, 2019
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Covington & Burling LLP

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
(b)(6)	10/17/19
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
AMBER VAIL Digitally signed by AMBER VAIL Date: 2019.10.21 11:30:48 -04'00'	10/17/2019

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.




**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	10/24/19
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	SumUp (b)(6) is out of the office and unable to sign and submit this form; however, he contacted the Ethics Office via telephone and sent an email. He will sign the form upon his return to the office. I advised this was permissible. ** A. Vail.

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	<b>Date Submitted</b>
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
<b>AMBER VAIL</b>  Digitally signed by AMBER VAIL Date: 2019.10.24 14:43:04 -04'00'	10/24/19

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.





**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	11/4/19
<b>Name of Non-Federal Entity</b> Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Clark H.U.

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Empl</b> (b)(6)	<b>Date Submitted</b>
	11/5/19
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
<i>Jonathan B. Vail</i>	11/5/19



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	11/4/19
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Pentons

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Em</b> (b)(6)	<b>Date Submitted</b>
	11/5/19
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
<i>Andrew B. Hall</i>	11/5/19



**STOCK ACT NOTIFICATION**  
**OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	11/4/19
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Gibson Dunn

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(b)(6)	<b>Date Submitted</b>
	11/5/19
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
<i>Amber B. Vail</i>	11/5/19



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<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	11/4/19
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Jones Day

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<b>En</b> (b)(6)	<b>Date Submitted</b>
	11/5/19
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>
<i>Amber B. Paul</i>	11/5/19

**STOCK ACT NOTIFICATION  
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<b>Employee Name</b>	(b)(6)
<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	10/24/19
<b>Name of Non-Federal Entity</b>	SumUp
<b>Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.</b>	<p>• (b)(6) is out of the office and unable to sign and submit this form; however, he contacted the Ethics Office via telephone and sent an email. He will sign the form upon his return to the office. I advised this was permissible. ** A. Vail.</p>

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

<b>Employee Signature</b>	(b)(6)	<b>Date Submitted</b>
		11/5/19
<b>Bureau Ethics Official Signature</b>		<b>Date Received</b>
<b>AMBER VAIL</b> Digitally signed by AMBER VAIL Date: 2019.10.24 14:43:04 -04'00'		10/24/19

**PRIVACY ACT STATEMENT**

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.



**STOCK ACT NOTIFICATION  
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL**

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<b>CFPB Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	10/24/19
<b>Name of Non-Federal Entity</b>	SumUp
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		11/5/19
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<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	11/4/19
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Denton

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<b>En</b> (b)(6)	<b>Date Submitted</b>
	11/5/19
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>



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<b>Employee Name</b>	(b)(6)
<b>BCFP Office/Division</b>	
<b>Date Discussion, Negotiation, or Agreement Commenced</b>	11/4/19
<b>Name of Non-Federal Entity</b> Disclose <u>each</u> non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Jones Day

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<b>Em</b> (b)(6)	<b>Date Submitted</b>
	11/5/19
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>



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<b>Employee Name</b>	(b)(6)
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<b>Executive Signature</b>	<b>Date Submitted</b>
(b)(6)	11/5/19
<b>Bureau Ethics Official Signature</b>	<b>Date Received</b>



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