

### ARC Decisions FY 19 and FY 20\*

	FY 19	FY 20
Referred to Enforcement for Follow-up	5	9
Referred to Supervision for Follow-up	32	33
Findings Referred in Part to Supervision for Follow-up and in Part to Enforcement for Follow-up**	2	2
	<u>39</u>	<u>44</u>

\*Supervision uses a risk-based approach in planning its supervisory activities. The numbers in the chart do not reflect all supervisory activities or all enforcement investigations in any given year. Instead, the numbers show the ARC decisions made on that subset of matters that go through the ARC process.

\*\*With respect to some exams, some findings are referred to supervision and some findings are referred to enforcement. Either enforcement or supervision will exclusively consider each finding.

### ARC Decisions for FY 2021\*

	FY 2021
Referred to Enforcement for follow-up	15
Referred to Supervision for follow-up	26
Referred in part to Supervision for follow-up and in part to Enforcement for follow-up**	3
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	44
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\*Supervision uses a risk-based approach in planning its supervisory activities. The numbers in the chart do not reflect all supervisory activities or all enforcement investigations in any given year. Instead, the numbers show the ARC decisions made on that subset of matters that go through the ARC process.

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