CONSUMER FINANCIAL PROTECTION BUREAU

At-A-Glance: Nonbank Order Registration Form

This document provides an at-a-glance view of the form for registering a covered order with the CFPB Nonbank Registry.



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1. Register a Covered Order

Note: Detailed instructions for completing this form, including data entry specifications, can be found in the Filing Instructions Guide (FIG) located on the Nonbank Registry Page.

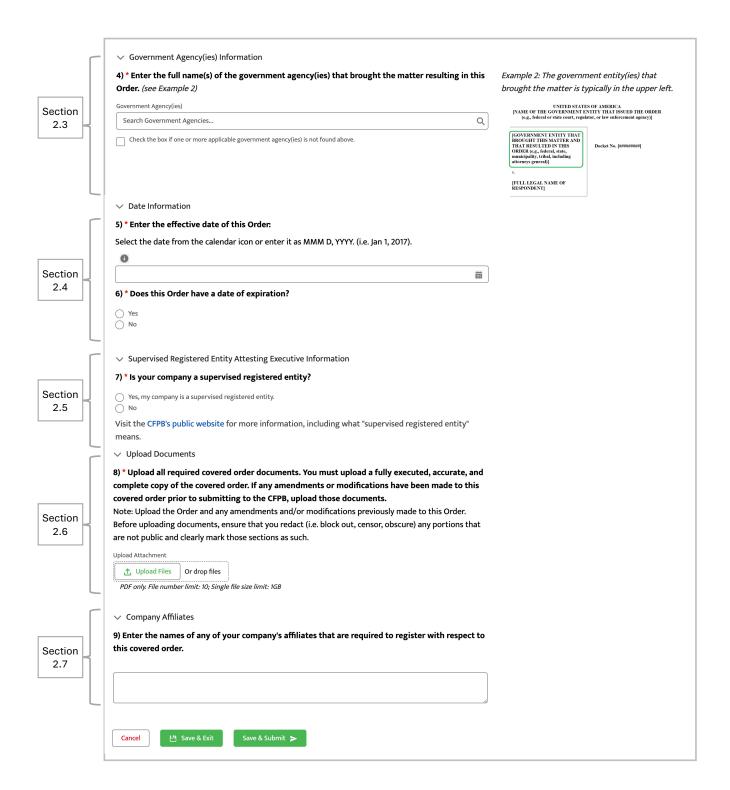
The user is first presented with a screen where a choice is made to complete the form for covered order registration with the CFPB or the form for an NMLS-published covered order.

For any covered Order that:
1) is published on the NMLS Consumer Access website and
2) not issued or obtained by the CFPB,
the company may select the one-time registration notice option below.
Not sure if this covered order qualifies? Click here for more information on optional one-time registration of an NMLS-published covered order. To determine whether you have an NMLS-covered order(s) (final order published by a state agency through NMLS), log into your NMLS account or view on the NMLS Consumer Access website, click here. You can also visit the CFPB's public website for general information.
How would you like to proceed with registering this covered order?
My company is registering this covered order with the CFPB Nonbank Registry under 12 CFR 1092.202.
My company is selecting the option to submit a one-time registration for this NMLS-published covered order
(final order published by a state agency through NMLS) under 12 CFR 1092.203.
By choosing this option my company confirms that this covered order is an NMLS-published covered order as defined at 12 CFR 1092.201(k) and is eligible for registration under 12 CFR 1092.203.
Clicking "Next" will take you to the applicable registration form based on the response you selected above. Please have the covered order available so that you may answer the questions on the form.
Next

2. Covered Order Registration Form (full view)

Sections 2.1 - 2.2 shown below. Sections 2.3 - 2.7 can be found on the next page.

	Order registration
	This is where you will register your company's covered order. If your company has more than one covered order, each covered order will require its own registration. Visit the CFPB's public website for more information, including what is a covered order.
	You are required to submit revised and final filings of already-registered orders. For an order that is modified and remains in effect, you are required to revise the information below within ninety (90) days of the effective date of such modification. For an order that is fully terminated or abrogated in relevant part and no longer remains in effect, or is no longer a covered order, you are required to submit a final filing. Click here for more information, including instructions on how to submit a revised filing or a final filing.
	You will need the final public covered order (Order) to complete this registration. To upload the Order it must be in PDF format and less than one (1) GB.
	A red asterisk (*) indicates a required field.
	∨ Order Information
	1) * Enter any docket, case, tracking, or other similar identifying number(s) assigned to this Order by the court or government agency. The identifying number(s) may include numbers, letters, and/or other characters. If this Order was modified, and the identifying number(s) has changed, enter the identifying number(s) following the most recent modification.
	Enter the identifying number for this Order here. If there is more than one identifying number, separate with a semicolon (;)
	2) * Select all of the covered laws identified in this Order as a violation or an alleged violation:
	State law, rule, or order
Section 2.1	Section 5 of the FTC or implementing rule or order Federal consumer financial law(s) or any other law the CFPB may enforce
	Use the text box below to enter applicable covered laws for any of the following:
	· If you selected Other for Federal consumer financial law(s) or any other law the CFPB may enforce, or
	If you selected Section 5 of the FTC Act or any implementing rule or order, or If you selected State law, rule, or order
	If any covered law(s) for this Order are not found above, enter them here. If entering more than one covered law, separate with a semicolon (;). You may also
	add any additional information regarding the violation(s).
	Visit the CFPB's public website for more information, including the definition of "covered law."
	✓ Court Information
	3) * Was this Order issued by a court? Example 1: The government entity that issued the
	order is typically listed at the top of the order.
Section	NO NAME OF THE GOVERNMENT ENTITY THAT ISSUED THE ORDER (e.g., federal or state court, regulator, or law enforcement agency)
2.2	GOVERNMENT ENTITY THAT BROUGHT THIS MATTER AND
	BROUGHT ITHANTER AND THAT RESULTED IN THIS ORDER (e.g., federal, state, municipality, including attorneys general);
	v. FULLIEGAL NAME OF RESPONDENT
	RESPONDENT]

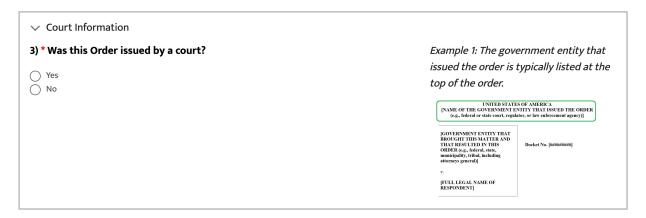


2.1 Order Information (section view)

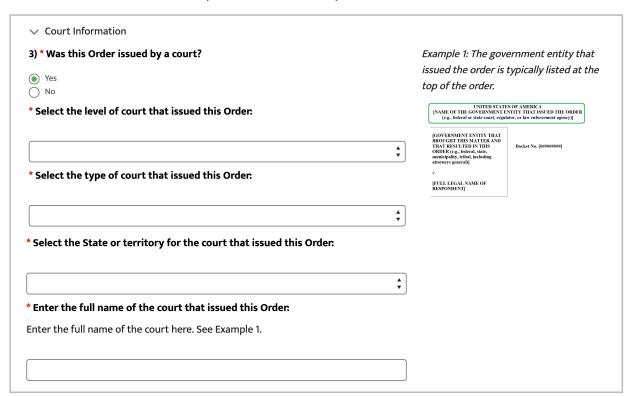
✓ Order I	nformation
1) * Enter a	ny docket, case, tracking, or other similar identifying number(s) assigned to this Order by the court or nt agency.
•	fying number(s) may include numbers, letters, and/or other characters.
	er was modified, and the identifying number(s) has changed, enter the identifying number(s) following the not modification.
Enter the i	dentifying number for this Order here. If there is more than one identifying number, separate with a semicolon (;)
2) * Select	all of the covered laws identified in this Order as a violation or an alleged violation:
State law	rule, or order
State law Section 5	, rule, or order of the FTC or implementing rule or order
State law Section 5 Federal co	rule, or order of the FTC or implementing rule or order onsumer financial law(s) or any other law the CFPB may enforce
State law Section 5 Federal co	rule, or order of the FTC or implementing rule or order onsumer financial law(s) or any other law the CFPB may enforce tt box below to enter applicable covered laws for any of the following:
State law Section 5 Federal co Use the tex If you selo	rule, or order of the FTC or implementing rule or order onsumer financial law(s) or any other law the CFPB may enforce It box below to enter applicable covered laws for any of the following: ected Other for Federal consumer financial law(s) or any other law the CFPB may enforce, or
State law Section 5 Federal co Use the tex If you seld	rule, or order of the FTC or implementing rule or order onsumer financial law(s) or any other law the CFPB may enforce it box below to enter applicable covered laws for any of the following: ected Other for Federal consumer financial law(s) or any other law the CFPB may enforce, or ected Section 5 of the FTC Act or any implementing rule or order, or
State law Section 5 Federal of Use the tex If you seld If you seld	rule, or order of the FTC or implementing rule or order onsumer financial law(s) or any other law the CFPB may enforce It box below to enter applicable covered laws for any of the following: ected Other for Federal consumer financial law(s) or any other law the CFPB may enforce, or

2.2 Court Information (section view)

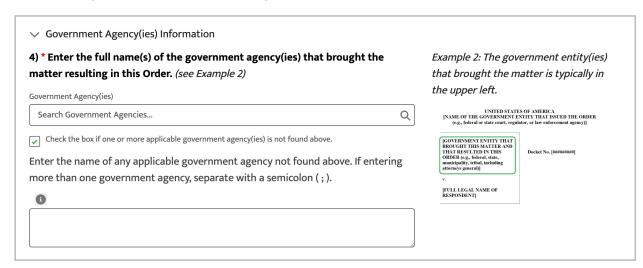
This section contains radio buttons for the user to select. If "Yes" is selected, additional fields appear.



2.2.1 Court Level (section view)

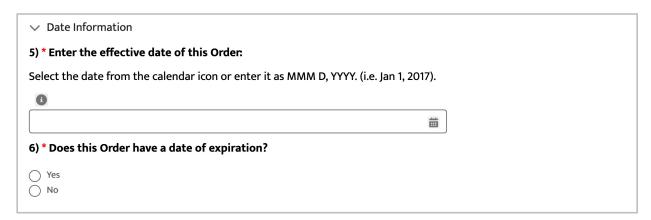


Government Agency(ies) Information (section view)



2.4 Date Information (section view)

This section contains radio buttons for the user to select. If "Yes" is selected, an additional date field appears.

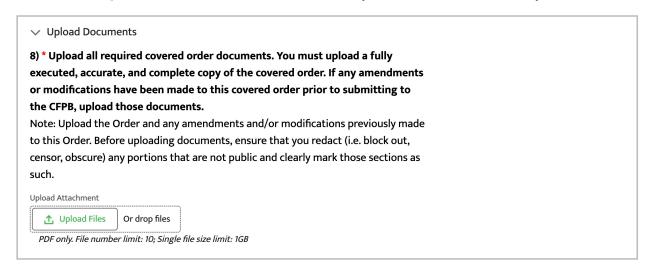


2.5 Supervised Registered Entity Attesting Executive Information (section view)

This section contains radio buttons for the user to select. If "Yes" is selected, additional fields appear.

Supervised Registered Entity Attesting Executive Information 7) * Is your company a supervised registered entity? Yes, my company is a supervised registered entity. No Enter the first and last name of the attesting executive designated with respect to this Order. There may only be one attesting executive designated at any one time for this Order. Enter the title of the attesting executive for this Order. Visit the CFPB's public website for more information, including what "supervised registered entity" means.	
 Yes, my company is a supervised registered entity. No Enter the first and last name of the attesting executive designated with respect to this Order. There may only be one attesting executive designated at any one time for this Order. Enter the title of the attesting executive for this Order. Visit the CFPB's public website for more information, including what "supervised 	∨ Supervised Registered Entity Attesting Executive Information
Enter the first and last name of the attesting executive designated with respect to this Order. There may only be one attesting executive designated at any one time for this Order. Enter the title of the attesting executive for this Order: Visit the CFPB's public website for more information, including what "supervised"	7) * Is your company a supervised registered entity?
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	Enter the title of the attesting executive for this Order.

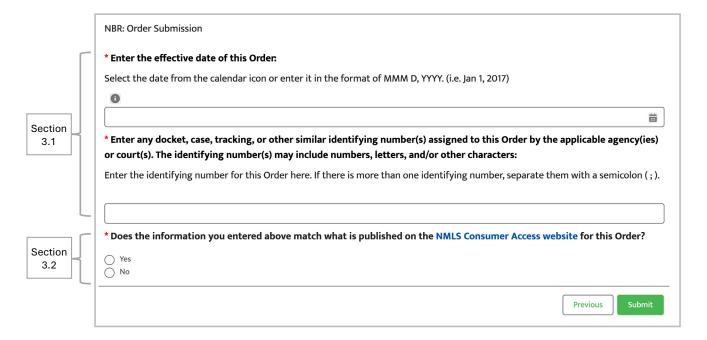
2.6 Upload Documents (section view)



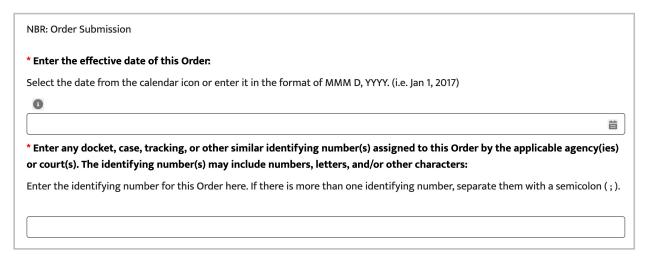
2.7 Company Affiliates (section view)

∨ Company Affiliates	
9) Enter the names of any of your company's affiliates that are required to register with respect to this covered order.	

NMLS-published Covered Order Registration Form (full view)



3.1 Order Submission (section view)



3.2 NMLS Consumer Access Website Information Match (section view)

This section contains radio buttons for the user to select. If "No" is selected, an additional field appears.

* Does the informa	ation you entered above match what is published on the NMLS Consumer Access website for this Order?
Yes No	
	ny differences between the information you entered for this Order and the information published on the
NMLS Consumer A	· ·
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