

CFPB.023

SYSTEM NAME:

Prize Competitions Program Records

SYSTEM LOCATION:

Bureau of Consumer Financial Protection, 1700 G Street NW., Washington, DC 20552.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Individuals covered by this system include: (1) Any individual who participates in a Bureau sponsored prize competition, or is a Bureau sponsored prize competition awardee, including representatives of companies who, in their business capacity, may participate in or otherwise represent their companies in Bureau sponsored prize competitions; (2) Any individual who nominates an individual to serve as a judge for a Bureau sponsored prize competition, or is nominated to serve as a judge, is currently serving as a judge, has been selected as an alternative judge, and/or has served as a judge and is no longer serving; and (3) Any individual who notarizes, witnesses, or otherwise verifies a declaration of participation associated with a Bureau sponsored prize competition.

CATEGORIES OF RECORDS IN THE SYSTEM:

Information maintained on Bureau sponsored prize competition participants will include: contact information (including name, address, email address, and telephone number). Information maintained on Bureau sponsored prize competition awardees will include: (1) Contact information (including name, address, email address, and telephone number); (2) employment information, including title; (3) information necessary for payment, including Social Security number ("SSN"), Tax Identification number ("TIN"), Employee Identification Number ("EIN"), Data Universal Numbering System ("DUNS"), Number, Commercial and Government Entity ("CAGE") number, Electronic Funds Transfer ("EFT") data, and/or financial institution name, routing, and account numbers; and (4) information relevant to determination of eligibility for selection as a prize competition awardee, such as employment information, citizenship status, and declaration of desire and eligibility to participate. Information maintained on individuals who nominate an individual to serve as a judge for a Bureau sponsored prize competition will include name and contact information (including name, address, email address, and telephone number). Information maintained on individuals serving as, or being nominated to serve as a judge for a Bureau sponsored prize competition will include place of birth, date of birth, gender, citizenship status, declaration of desire and eligibility to participate, SSN, financial disclosure information, and other information that can be used to determine if the individual is fit to participate. Information collected about individuals

who notarize, witness, or otherwise verify declarations of participation associated with a Bureau sponsored prize competition includes name and notary seal (including name, state of issue, and commission expiration date) only.

Records are subject to the Privacy Act only to the extent that they concern individuals; information pertaining to corporations and other business entities and organizations are not subject to the Privacy Act.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

America COMPETES Reauthorization Act of 2010, Public Law 111-358, Section 105, codified at 15 U.S.C. 3719; Dodd-Frank Wall Street Reform and Consumer Financial Protection Act of 2010, Public Law 111-203, Section 1012, codified at 12 U.S.C. 5492.

PURPOSE(S):

Records in this system are collected to enable the Bureau to manage its prize competition program authorized by the America COMPETES Reauthorization Act of 2010, select judges for Bureau sponsored prize competitions, maintain accounting and financial information associated with making authorized payments to companies or individuals who are Bureau sponsored prize competition awardees, develop reports to applicable federal, state, and local taxing officials of taxable income, and to meet other reporting requirements. The information will also be used for administrative purposes to ensure quality control, performance, and improving management processes.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

These records may be disclosed, consistent with the Bureau's Disclosure of Records and Information Rules, promulgated at 12 CFR 1070 *et seq.*, to:

- (1) Appropriate agencies, entities, and persons when (a) the Bureau suspects or has confirmed that there has been a breach of the system of records; (b) the Bureau has determined that as a result of the suspected or confirmed breach there is a risk of harm to individuals, the Bureau (including its information systems, programs, and operations), the Federal Government, or national security; and (c) the disclosure made to such agencies, entities, and persons is reasonably necessary to assist in connection with the Bureau's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm;
- (2) Another Federal agency or Federal entity, when the Bureau determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in (a) responding to a suspected or confirmed breach or (b) preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity (including its information systems, programs, and

operations), the Federal Government, or national security, resulting from a suspected or confirmed breach.

- (3) Another federal or state agency to (a) permit a decision as to access, amendment or correction of records to be made in consultation with or by that agency, or (b) verify the identity of an individual or the accuracy of information submitted by an individual who has requested access to or amendment or correction of records;
- (4) The Office of the President in response to an inquiry from that office made at the request of the subject of a record or a third party on that person's behalf;
- (5) Congressional offices in response to an inquiry made at the request of the individual to whom the record pertains;
- (6) Contractors, agents, or other authorized individuals performing work on a contract, service, cooperative agreement, job, or other activity on behalf of the Bureau or Federal Government and who have a need to access the information in the performance of their duties or activities;
- (7) The U.S. Department of Justice ("DOJ") for its use in providing legal advice to the Bureau or in representing the Bureau in a proceeding before a court, adjudicative body, or other administrative body before which the Bureau is authorized to appear, where the use of such information by the DOJ is deemed by the Bureau to be relevant and necessary to the litigation, and such proceeding names as a party or interests:
 - (a) The Bureau;
 - (b) Any employee of the Bureau in his or her official capacity;
 - (c) Any employee of the Bureau in his or her individual capacity where DOJ has agreed to represent the employee; or
 - (d) The United States, where the Bureau determines that litigation is likely to affect the Bureau or any of its components;
- (8) Members of the media, federal, state, and local government officials or other recipients of the Bureau's external affairs communications to inform them about Bureau sponsored prize competitions, awardees, related selection processes, and other program activities;
- (9) To the public, members of the media, federal, state, and local government officials, or other recipients of Bureau reports, viewers of the Bureau's Web site, blog postings, and other social media, and recipients of other public relations materials issued by the Bureau about Bureau sponsored prize competitions, awardees, related selection processes, and other program activities; and
- (10) To the Treasury Department, Internal Revenue Service, and other governmental entities, including state and local taxing officials, to facilitate taxation of payments made to Bureau sponsored prize completion awardees.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Paper and electronic records.

RETRIEVABILITY:

Records are retrievable by a variety of fields including, without limitation, the individual's name, SSN, address, account number, phone number, date of birth, employer, prize competition name, or by some combination thereof.

SAFEGUARDS:

Access to electronic records is restricted to authorized personnel who have been issued non-transferrable access codes and passwords. Other records are maintained in locked file cabinets or rooms with access limited to those personnel whose official duties require access.

RETENTION AND DISPOSAL:

The Bureau will maintain electronic and paper records indefinitely until the National Archives and Records Administration ("NARA") approves the Bureau's records disposition schedule.

SYSTEM MANAGER(S) AND ADDRESS:

Bureau of Consumer Financial Protection, Chief Financial Officer, 1700 G Street NW., Washington, DC 20552.

NOTIFICATION PROCEDURE:

Individuals seeking notification and access to any record contained in this system of records, or seeking to contest its content, may inquire in writing in accordance with instructions appearing in Title 12, Chapter 10 of the CFR, "Disclosure of Records and Information." Address such requests to: Chief Privacy Officer, Bureau of Consumer Financial Protection, 1700 G Street N.W., Washington, DC 20552.

RECORD ACCESS PROCEDURES:

See "Notification Procedures" above.

CONTESTING RECORD PROCEDURES:

See "Notification Procedures" above.

RECORD SOURCE CATEGORIES:

Information in this system is provided by individuals who participate in Bureau sponsored prize competition activities, by individuals who nominate an individual to serve as a judge for a Bureau sponsored prize competition, or individuals who are nominated or serve as judges for a Bureau sponsored prize competition, and by individuals who notarize, witness or otherwise verify declarations of participation associated with a Bureau sponsored prize competition.

EXEMPTIONS CLAIMED FOR THE SYSTEM:

None.