

Entity Name:
Docket Number:

Prepared by:
Date:

Docket Number:	Click here to enter text.
Entity Name	Click here to enter text.
City	Click here to enter text.
State	Click here to enter text.
Prudential and/or State Regulator(s)	Click here to enter text.
Contact Name	Click here to enter text.
Contact Information	Click here to enter text.
Work City	Click here to enter text.
Work State	Click here to enter text.
Top Tier Entity	Click here to enter text.
Affiliated DIs (RSSD)	Click here to enter text.
Principal products or lines of business	Click here to enter text.
CFPB Region	Click here to enter text.
Total Assets (000)	Click here to enter text.
Assets as-of date	Click here to enter text.
Attach current Risk Assessment results	Click here to enter text.
Current Compliance Rating	Click here to enter text.
Last Compliance Exam Started	Click here to enter text.
Next Compliance Exam Scheduled	Click here to enter text.
Current CAMELS	Click here to enter text.
CAMELS as-of date	Click here to enter text.
Formal Action in Place	Click here to enter text.
Formal Action in Process	Click here to enter text.

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Enforcement Attorney Name	Click here to enter text.
Contact Information	Click here to enter text.
Informal Agreement in Place	Click here to enter text.
Informal Agreement in Process	Click here to enter text.
Safety and Soundness Action(s)	Click here to enter text.
Fair Lending Issues	Click here to enter text.
Fair Lending Data Analysis Results	Click here to enter text.
HMDA Outlier (Y/N)	Click here to enter text.
Previous Outlier Years	Click here to enter text.
# Discrimination Complaints	Click here to enter text.
OFLEO Contact	Click here to enter text.
Contact Information	Click here to enter text.
UDAAP Issues	Click here to enter text.
Other Significant Regulatory Issues	Click here to enter text.
Complaint Analysis	Click here to enter text.
Other Noteworthy Information	Click here to enter text.